



Receipt

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: 5/01/01

Name: Carol Felenstein

Signature: Carol Felenstein

Clifford Chance Rogers & Wells LLP

Docket No. 3499-91

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Sharon Greener, Mark Higgins, Effie Konstantine
Anagnostopoulos, Paul Young, Dan Rothman, John
Godfrey, Mel Gunewardena

Serial No. : 09/714,315

Filed : November 16, 2000

For : **AUTOMATED ONLINE SALES RISK
MANAGEMENT SYSTEM**

Examiner : n/a Group Art Unit : 2164

Commissioner for Patents
Washington, DC 20231

RECEIVED
SEP 06 2001
Technology Center 2100

REQUEST TO CORRECT FILING RECEIPT

Sirs:

Enclosed herewith please find the filing receipt received in the above-identified application which contains an error.

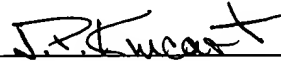
With reference to our Petition Under 37 CFR 1.48(b) to Correct Inventorship dated March 8, 2001, copy enclosed, we requested that the name of Effie Higgins be changed to Effie Konstantine Anagnostopoulos. On the enclosed Filing Receipt it reads Effie Konstantine

Higgins. Please change to **Effie Konstantine Anagnostopoulos** as requested in our Petition Under 37 CFR 1,48(b) to Correct Inventorship dated March 8, 2001.

Continuing Data as Claimed by Applicant is repeated three times on the Filing Receipt.

It is believed that these errors originated in the Patent Office and therefore no fee is required for this correction. However, the Commissioner is hereby authorized to charge any additional fees which may be required in connection with this request, or to credit any overpayment to **Deposit Account No. 50-0521**. A duplicate copy of this document is enclosed.

Respectfully submitted,



Joseph P. Kincart
Reg. No. 43,716
Attorney for Applicants
Telephone: (212) 878-3289

MAILING ADDRESS

CLIFFORD CHANCE ROGERS & WELLS LLP
ROGERS & WELLS LLP
200 Park Avenue
New York, NY 10166



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/714,315	11/16/2000	2164	2662	3499-91	7	59	17

Joseph P Kincart Esq
Clifford Chance Clifed Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10016

FILING RECEIPT



OC000000005899837

Date Mailed: 03/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Sharon Greener, Rumson, NJ ;
Mark Higgins, New York, NY ;
Effie Konstantine Higgins, New York, NY ;
Paul Young, New York, NY ;
Dan Rothman, New York, NY ;
John Godfrey, London, UNITED KINGDOM ;
Mel Gunewardena, New York, NY ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000
THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000
THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000
WHICH IS A CIP OF 09/526,606 03/16/2000
AND A CIP OF 09/526,606 03/16/2000
AND A CIP OF 09/526,606 03/16/2000
WHICH CLAIMS BENEFIT OF 60/218,184 07/14/2000
AND CLAIMS BENEFIT OF 60/218,184 07/14/2000
AND CLAIMS BENEFIT OF 60/218,184 07/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/22/2001

Title

RECEIVED
SEP 06 2001
Technology Center 2100

Preliminary Class

705

Data entry by : GENTRY, CHRISTINE

Team : OIPE

Date: 03/26/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 6479

SERIAL NUMBER 09/714,315	FILING DATE 11/16/2000 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 3499-91
------------------------------------	---	---------------------	-------------------------------	---------------------------------------

APPLICANTS

Sharon Greener, Rumson, NJ;
 Mark Higgins, New York, NY;
 Effie Konstantine Anagnostopoulos, New York, NY;
 Paul Young, New York, NY;
 Dan Rothman, New York, NY;
 John Godfrey, London, UNITED KINGDOM;
 Mel Gunewardena, New York, NY;

**** CONTINUING DATA *******

THIS APPLICATION IS A CIP OF 09/702,956 10/31/2000
 WHICH IS A CIP OF 09/526,606 03/16/2000
 WHICH CLAIMS BENEFIT OF 60/218,184 07/14/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
 ** 02/22/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY NJ	SHEETS DRAWING 7	TOTAL CLAIMS 59	INDEPENDENT CLAIMS 17
---	---	-------------------------------	----------------------------	---------------------------	---------------------------------

Verified and Acknowledged
 Examiner's Signature *Sandra Snapp* Initials *SS*

ADDRESS

Joseph P Kincart Esq
 Clifford Chance Cliffed Chance Rogers & Wells LLP
 200 Park Avenue
 New York ,NY 10016

TITLE

Automated online sales risk management

FILING FEE RECEIVED 2662	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
------------------------------------	---	---

